EL844055512

PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Please type a plus sign (+) inside this box

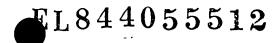
(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attori | ney Docket No. | MI22-1914 | | |
|----------------|----------------|-------------------------|--|--|
| First Inventor | | Jerome Michael Eldridge | | |
| Title | See 1 in Adder | ndum | | |

Express Mail Label No EL 844055512, US

| APPLICATION ELEMENTS | | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application | | | | |
|---|---|---------------------|--|--|--|--|--|
| See MPEP chapter 600 concerning utility patent application contents. | | | Washington, DC 20231 | | | | |
| Fee Transmittal Fo | orm (e.g., PTO/SB/17) duplicate for fee processing) | | CD-ROM or CD-R in duplicate, large table or | | | | |
| 2. Applicant claims small entity status. See 37 CFR 1.27. | | | Computer Program (<i>Appendix</i>) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | |
| 3. X Specification (preferred arrangement | [Total Pages 41] set forth below) | | a. Computer Readable Form (CRF) | | | | |
| - Descriptive title | | | b. Specification Sequence Listing on: | | | | |
| | e to Related Applications ording Fed sponsored R & D | | i. CD-ROM or CD-R (2 copies); or | | | | |
| | quence listing, a table, | | i i. 🔲 paper | | | | |
| or a computer p - Background of t | rogram listing appendix the Invention | | c. Statements verifying identity of above copies | | | | |
| - Brief Summary - Brief Description | of the Invention n of;the Drawings (<i>if filed</i>) | | ACCOMPANYING APPLICATION PARTS | | | | |
| - Detailed Descrip | | | 9. Assignment Papers (cover sheet & document(s)) | | | | |
| Claim(s) Abstract of the l | Disclosure | - | 10. 37 CFR 3.73(b) Statement Power of Attorney | | | | |
| 4. X Drawing(s) (35 U. | S.C. 113) [Total Sheets 4 |], | 11. English Translation Document (if applicable) | | | | |
| Oath or Declaration | [Total Pages 2 | j , | 12. X Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS | | | | |
| a. Newly execu | ted (original or copy) | 1 | 13. X Preliminary Amendment | | | | |
| Copy from a | prior application (37 CFR 1.63 (d)) ion/divisional with Box 18 completed |) [1 | 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | |
| i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) | | | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 | | | | |
| 6 | | | or its equivalent | | | | |
| 6 Application Data Sheet. See 37 CFR 1.76 | | | 17. X Other: | | | | |
| | | supply the | requisite information below and in a preliminary amendmen | | | | |
| or in an Application Data She | Divisional Continuation-in-part (| CIP) | of prior application No.: 09 /651,815 | | | | |
| Prior application information: | Examiner Laura M. Schillin | • | Group Art Unit: 2813 | | | | |
| For CONTINUATION OR DIVISIO | ONAL APPS only: The entire disclosure | of the prior | r application, from which an oath or declaration is supplied und | | | | |
| | | | or divisional application and is hereby incorporated by reference omitted from the submitted application parts. | | | | |
| | 19. CORRESPO | NDENCE | ADDRESS | | | | |
| X Customer Number or Bar Co. | de Label (Insert Customer No. 67/Alta | 67 Glibarecciala | or Correspondence address below | | | | |
| Name | | | | | | | |
| | | | | | | | |
| Address | , | | | | | | |
| City | | State | e Zip Code | | | | |
| Country | | Telephon | ne Fax | | | | |
| Name (Print/Type) | D. Brent Kenady | | Registration No. (Attorney/Agent) 40,045 | | | | |
| , | O S | | / // 0.2 | | | | |
| Signature | von Kemy | | Date /-//-02 | | | | |

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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

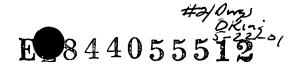
| Complete if Known | | | | | |
|----------------------|--------------------------|--|--|--|--|
| Application Number | Priority 09/651,815 | | | | |
| Filing Date | Priority August 30, 2000 | | | | |
| First Named Inventor | Jerome Michael Eldridge | | | | |
| Examiner Name | Priority L. Schillinger | | | | |
| Group Art Unit | Priority 2813 | | | | |
| Attorney Docket No. | MT22-1914 | | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | |
|--|---|---------------------------|---|----------|--|--|--|
| X Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | | |
| X Deposit Account: | Large Entity | Large Entity Small Entity | | | | | |
| Deposit 23_0025 | Fee Fee Code (\$) | Fee Fee Code (\$) | Fee Description | Fee Paid | | | |
| Account Number | 105 130 | 205 65 | Surcharge - late filing fee or oath | 0.00 | | | |
| Deposit Account Name Wells St.John P.S. | 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | 0.00 | | | |
| The Commissioner is authorized to: (check all that apply) | 139 130 | 139 130 | Non-English specification | 0.00 | | | |
| Charge fee(s) indicated below | 147 2.520 | 147 2.520 | • | 0.00 | | | |
| X Charge any additional fee(s) during the pendency of this application | 1 | | For filing a request for ex parte reexamination | | | | |
| Charge fee(s) indicated below, except for the filing fee | 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | 0.00 | | | |
| to the above identified deposit account. | 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | 0.00 | | | |
| FEE CALCULATION | 115 110 | 215 55 | Extension for reply within first month | 0.00 | | | |
| 1. BASIC FILING FEE | 116 400 | 216 200 | Extension for reply within second month | 0.00 | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 117 920 | 217 460 | Extension for reply within third month | 0.00 | | | |
| Code (\$) Code (\$) Fee Paid | 118 1,440 | 218 720 | Extension for reply within fourth month | 0.00 | | | |
| 101 740 201 370 Utility filing fee 740 | 128 1,960 | 228 980 | Extension for reply within fifth month | 0.00 | | | |
| 106 330 206 165 Design filing fee | 119 320 | 219 160 | Notice of Appeal | 0.00 | | | |
| 107 510 207 255 Plant filing fee | 120 320 | 220 160 | Filing a brief in support of an appeal | 0.00 | | | |
| 108 740 208 370 Reissue filing fee | 121 280 | 221 140 | Request for oral hearing | 0.00 | | | |
| 114 160 214 80 Provisional filing fee | 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | 0.00 | | | |
| SUBTOTAL (1) (\$) 740.00 | 140 110 | 240 55 | Petition to revive - unavoidable | 0.00 | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 4 | 241 640 | Petition to revive - unintentional | 0.00 | | | |
| Fee from Extra Claims below Fee Paid | | 242 640 | Utility issue fee (or reissue) | 0.00 | | | |
| Total Claims 7 -20** = 0 × 18 = 0 | 143 460 | 243 230 | Design issue fee | 0.00 | | | |
| Independent 2 3 = 0 × 94 - 0 | 144 620 | 244 310 | Plant issue fee | 0.00 | | | |
| Claims Multiple Dependent = 0 | 122 130 | 122 130 | Petitions to the Commissioner | 0.00 | | | |
| | 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | 0.00 | | | |
| Large Entity Small Entity | 126 180 | 126 180 | Submission of Information Disclosure Stmt | 0.00 | | | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | 581 40 | 581 40 | Recording each patent assignment per | 0.00 | | | |
| 103 18 203 9 Claims in excess of 20 | | | property (times number of properties) | | | | |
| 102 84 202 42 Independent claims in excess of 3 | 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | 0.00 | | | |
| 104 280 204 140 Multiple dependent claim, if not paid | 149 740 | 249 370 | For each additional invention to be | 0.00 | | | |
| 109 84 209 42 ** Reissue independent claims over original patent | | | examined (37 CFR § 1.129(b)) | 0.00 | | | |
| 110 18 210 9 "Reissue claims in excess of 20 | 179 740 | 279 370 | Request for Continued Examination (RCE) | 0.00 | | | |
| and over original patent | 169 900 | 169 900 | Request for expedited examination of a design application | 0.00 | | | |
| SUBTOTAL (2) (\$) 0.00 | Other fee (s | 0.00 | | | | | |
| 000101AL(2) | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0.00 | | | | | | |
| **or number previously paid, if greater; For Reissues, see above | Reduced b | y pasic riling | THE FAIL SUBTUIAL (3) (47) | | | | |

| SUBMITTED BY | | | Complete (if applicable) | | |
|-------------------|-----------------|----------------------------------|--------------------------|-----------|----------------|
| Name (Print/Type) | D. Brent Kenady | Registration No. (Attomey/Agent) | 40,045 | Telephone | (509) 624-4276 |
| Signature | Broken | | | Date | 1-11-02 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| PRIORITY PATENT APPLICATION SERIAL NO | 9/651,815 |
|---|-------------|
| PRIORITY FILING DATE Augus | t 30, 2000 |
| INVENTORSHIP Jerome Michael | el Eldridge |
| PRIORITY GROUP ART UNIT | 2813 |
| PRIORITY EXAMINER Laura M. | Schillinger |
| ATTORNEY'S DOCKET NO | MI22-1914 |
| TITLE: Methods for Forming Void Regions, Dielectric Regions and | Capacitor |
| Constructions | |

Assistant Commissioner for Patents Washington, D. C. 20231
Attention: Official Draftsman

SUBSTITUTE DRAWING REQUEST

Please enter the enclosed formal drawings in the above-referenced application in place of the originally filed drawings. The content of the drawings are identical to those now on file in this application.

Acknowledgment of receipt of the formal drawing sheets and their acceptance into the file is requested.

Respectfully submitted,

Date: /-//-02

By:

D. Brent Kenady Reg. No.: 40,045

WELLS, ST. JOHN, ROBERTS,

GREGORY & MATKIN P.S.

601 W. First Avenue, Suite 1300 Spokane, WA 99201-3828

(509) 624-4276

Enclosures: 4 sheets of Formal Drawings (Figs. 1-8)

A27201111623N